

Fall 2016, Winter and Spring 2017

Education 374 / Political Science 334 / Sociology 374 / Law 781

SEMINAR ON PHILANTHROPY AND CIVIL SOCIETY

---

FACULTY CONVENER:

Paul Brest  
[pbrest@law.stanford.edu](mailto:pbrest@law.stanford.edu)

Woody Powell  
[woodyp@stanford.edu](mailto:woodyp@stanford.edu)

Rob Reich  
[reich@stanford.edu](mailto:reich@stanford.edu)

**Course Description**

This is a year-long course, associated with the Center on Philanthropy and Civil Society (PACS). This seminar is intended for PhD students and postdoctoral fellows doing research on the nature and ramifications of civil society and/or the effects of philanthropy on civil society, both domestically and globally. The course is also open to advanced undergraduates writing senior theses on these topics. The purpose of the seminar is to assist students in pursuing their research and writing. We accomplish this through several means. All members of the seminar will have an opportunity to lead a session *and* present their work at least once during the year. Faculty and students will lead discussions of recent writings that form the corpus of scholarly knowledge of civil society, philanthropy, and the nonprofit sector. Students will circulate drafts of chapters, papers, and/or proposals and get feedback from faculty and fellow students. We will also have several leading scholars visit us for talks throughout the academic year, and students will have ample opportunity to interact with these guests.

Our aim is to help students produce high-quality work that will have an impact on their respective disciplines and shape the scholarly terrain of research on civil society, philanthropy, and nonprofits. Our expectation is that all participants will take the course for the full year; exceptions are allowed only with permission. The course may be taken multiple times, and for 1-3 units. Grading is on a pass/fail basis. Coffee and pastries are served at each session.

The course meets on Fridays, from 10:00am – 12:00pm. We meet in the Stanford Law School, 3<sup>rd</sup> Floor, Room 320-D (559 Nathan Abbott Way, Stanford CA).

**Readings:**

**All readings not accessible through Stanford Library will be posted to Canvas.** For chapters in the Powell and Steinberg volume, *The Nonprofit Sector*, 2<sup>nd</sup> edition, Yale University Press, 2006, it is available as a Stanford E-book. The link is here:

<http://site.ebrary.com/lib/stanford/detail.action?docID=10210281>

## **FALL QUARTER SCHEDULE:**

### **September 30: Introduction**

Everyone will give a short introduction to their research interests (and post a description on the course Canvas page.) We will discuss how different social science disciplines think about associational life, nonprofits, and philanthropy. We will also discuss the interaction between normative and positive orientations to scholarship. The goal is to enlarge our respective fields of vision, and see how other disciplines decide what is interesting, what methodological approaches are deployed, and what counts as good scholarship.

### **October 7: Why do nonprofits exist?**

These writings represent canonical statements. We want to consider their many merits, but also discuss how we would rewrite these articles today for readers in the future.

Henry Hansmann. "Economic Theories of Nonprofit Organizations," in W.W. Powell, ed., *The Nonprofit Sector*, 1<sup>st</sup> edition, Yale University Press, 1987.

Dennis Young. "Government Failure Theory" and "Contract Failure Theory," in *International Encyclopedia of Public Policy and Administration*, 1989.

Peter Frumkin. "The Idea of a Nonprofit and Voluntary Sector," from his *On Being Nonprofit*, Harvard U.P., 2002.

Helmut Anheier and Lester Salamon. "The Nonprofit Sector in Comparative Perspective." Chapter 4 in Powell and Steinberg, *The Nonprofit Sector*, 2<sup>nd</sup> ed. Yale Univ. Press, 2006.

### **October 21: Why study philanthropy?**

Symposium on "Why Political Scientists Should Study Organized Philanthropy." *PS: Political Science and Politics* 49/3 (July 2016): 433-72. Essays by Theda Skocpol, Jeffrey Berry, Kristen Goss, Sarah Reckhow, Steven Teles, Alexander Hertel-Fernandez, and Rob Reich.

Available at:

[http://journals.cambridge.org/download.php?file=%2FPSC%2FPSC49\\_03%2FS1049096516000652a.pdf&code=63328b8bb894b431329e7a33e0a9df0e](http://journals.cambridge.org/download.php?file=%2FPSC%2FPSC49_03%2FS1049096516000652a.pdf&code=63328b8bb894b431329e7a33e0a9df0e)

### **November 4: What is Civil Society?**

Putnam, Robert D., Robert Leonardi, and Raffaella Y. Nanetti. *Making Democracy Work: Civic Traditions in Modern Italy*. Chapter 6. Princeton University Press, 1994.

Elisabeth Clemens. "The Constitution of Citizens: Political Theories of Nonprofit Organizations." Chapter 9 in Powell and Steinberg, *The Nonprofit Sector*, 2<sup>nd</sup> ed. 2006.

deTocqueville, Alexis. *Democracy in America*. Translated by Henry Reeve. 1899.  
[http://xroads.virginia.edu/~Hyper/DETOC/toc\\_indx.html](http://xroads.virginia.edu/~Hyper/DETOC/toc_indx.html). Read "Of the Uses which the Americans Make of Public Associations and Relation of Civil to Political Associations."

Will Kymlicka. 2001. "Altruism in Philosophical and Ethical Traditions: Two Views." In PHILLIPS J., CHAPMAN B., & STEVENS D. (Eds.), *Between State and Market: Essay on Charities Law and Policy in Canada* (pp. 87-126). McGill-Queen's University Press. Retrieved from  
<http://www.jstor.org/stable/j.ctt80mws.9>

Susan Cotts Watkins, Ann Swidler, and Thomas Hannan. 2012. "Outsourcing Social Transformation: Development NGOs as Organizations." *Annual Review of Sociology* 38(1):285–315. <http://www.annualreviews.org/doi/pdf/10.1146/annurev-soc-071811-145516>

**November 18: How do Historians Approach Philanthropy and Civil Society? Readings Suggested by Claire Dunning and Ethan Ris**

Judith Sealander. "Curing evils at their source: The arrival of scientific giving." In Friedman, Lawrence J., and Mark D. McGarvie. *Charity, Philanthropy, and Civility in American History*. Cambridge University Press. pp. 217-39, 2003.

John Mohr and V. Duquenne. 1997. The Duality of Culture and Practice: Poverty Relief in New York City, 1888-1917. *Theory and Society* 26(2-3): 305-356.  
[http://www.jstor.org/stable/pdf/657930.pdf?\\_seq=1470945087943](http://www.jstor.org/stable/pdf/657930.pdf?_seq=1470945087943)

Alice Connor. "Bringing the Market Back In: Philanthropic Activism and Conservative Reform," in *Politics and Partnerships: The Role of Voluntary Associations in America's Political Past and Present*, Elisabeth S. Clemens and Doug Guthrie, eds. University of Chicago Press, pp. 121-150, 2010.

Alice Connor. "Community Action, Urban Reform, and the Fight Against Poverty: The Ford Foundation's Gray Areas Program." *Journal of Urban History* 22(5) (July 1996): 586-625.  
<http://juh.sagepub.com/content/22/5/586>

Oliver Zunz. *Philanthropy in America: A History*. Princeton, NJ: Princeton University Press, Chapter 7: Investing in Civil Rights (pp. 201-231), 2012.

**December 9: Why do people give/volunteer? (suggested by Ling Han, chapter selections to come)**

Andrea Muehlebach. 2012. *The Moral Neoliberal: Welfare and Citizenship in Italy*. University of Chicago Press. (Ch 4 "The Production of Compassion")

Mary Alice Haddad. 2007. *Politics and Volunteering in Japan*. New York: Cambridge University Press. (Ch 1 and skim Ch 5)

Nina Eliasoph. 2011. *Making Volunteers: Civic Life After Welfare's End*. Princeton University Press.

And for a different approach:

Roland Bénabou and Jean Tirole. 2006. "Incentives and Prosocial Behavior." *American Economic Review*, 96(5): 1652-1678. (for those allergic to modeling, read to pg. 1660)  
<https://www.aeaweb.org/articles?id=10.1257/aer.96.5.1652>

Paul Brest and Jason Bade. 2016. "The Philanthropist's and Concessionary Impact Investor's Utility Function." Working paper.

**Some other dates to remember for the fall:**

Sept. 30 -- PACS welcome back party, 4:30-6, Law School, Crown Bldg., 3<sup>rd</sup> floor

Oct. 27 -- Book launch for Reich et al, *Philanthropy and Democratic Societies*, 7 – 8:30, Brest Hall

Nov. 30 -- PACS Holiday party, 5:30 – 8:30pm

**Winter Quarter 2017 Schedule:**

Jan. 13 -- Claire Dunning, *New Careers for the Poor: Human Services and the Remaking of the Urban Economy*; Jacob Model, *Bad Apples: Educator Misconduct as an Oblique legitimacy Threat*

Jan. 27 -- Ted Lechterman, Ling Han

Feb. 10: Visit by Hahrie Han, Dept. of Political Science, UCSB. We should read her "The Organizational Roots of Political Activism: Field Experiments on Creating a Relational Context." *American Political Science Review* 110:2, May 2016.

[http://journals.cambridge.org/download.php?file=%2FPSR%2FPSR110\\_02%2FS000305541600006Xa.pdf&code=561ef5e0ffc2c140e051a6bcc1b17fc3](http://journals.cambridge.org/download.php?file=%2FPSR%2FPSR110_02%2FS000305541600006Xa.pdf&code=561ef5e0ffc2c140e051a6bcc1b17fc3)

Feb. 24 -- Anna Boch, Ethan Ris

March 10 -- Readings for session on how foundations matter?

Barbara Masters and Torie Osborn, "Social Movements and Philanthropy: How Foundations can Support Movement Building." *The Foundation Review* 2(2) 2010.

<http://scholarworks.gvsu.edu/tfr/vol2/iss2/3/>

J. Koushyar, W. Longhofer and P.W. Roberts. "A Comparative Analysis of Corporate and Independent Foundations." *Sociological Science* 2: 582-96. 2015.  
[https://www.sociologicalscience.com/download/volume-2/december/SocSci\\_v2\\_582to596.pdf](https://www.sociologicalscience.com/download/volume-2/december/SocSci_v2_582to596.pdf)

## **LOOKING BACK AT 50 YEARS OF U.S. PHILANTHROPY**

Benjamin Soskis and Stanley N. Katz December 5, 2016  
Commissioned for the William and Flora Hewlett Foundation's 50th Anniversary Symposium  
<http://www.hewlett.org/wp-content/uploads/2016/12/50-Years-of-U.S.-Philanthropy.pdf>

### **Spring Quarter 2017 Schedule:**

In spring we will explore some topics of common interest, as well as provide opportunities for those who need papers vetted by the group. The idea is that 2-3 people will tackle a topic, suggest relevant readings, and guide a serious group discussion.

Our planned meeting dates are: April 7 and 21, May 5 and 19, and either May 26 or June 2.

Possible topics for spring and some suggested initial readings:

### **Hybrid Organizational Forms:**

Jason Jay. 2013. "Navigating Paradox as a Mechanism of Change and innovation in Hybrid Organizations." *Academy of Management Journal* 56(1): 137-59.  
<https://web.a.ebscohost.com/ehost/pdfviewer/pdfviewer?sid=22a905e1-2840-422e-8705-a2b163cfbb9f%40sessionmgr4009&vid=1&hid=4109>

Claude Menard. 2004. "The Economics of Hybrid Organizations." *Journal of Institutional and Theoretical Economics* 160: 345-76.  
<http://docserver.ingentaconnect.com/deliver/connect/mohr/09324569/v160n3/s1.pdf?expires=1470947600&id=88374615&titleid=10501&acname=Stanford+University&checksum=A60E4A05AB3D00670DECFB732E229742>

Wolfgang Seibel. 2015. "Studying Hybrids: Sectors and Mechanisms." *Organization Studies* 36(6): 697-712. <http://oss.sagepub.com/content/36/6/697.full.pdf+html>

### **New Forms of Philanthropy: LLCs**

Peter Beinart. 2014. "Mega Donors Are Now More Important Than Most Politicians." *The Atlantic*. April. <http://www.theatlantic.com/politics/archive/2014/04/mega-donors-are-now-more-important-than-most-politicians/360192/>.

David Callahan. 2014. "Mark Zuckerberg's \$2.5 Billion Foundation. *Inside Philanthropy*.  
<http://insidephilanthropy.squarespace.com/home/2014/7/25/mark-zuckerbergs-25-billion-foundation.html>.

Allessandra Stanley. 2015. "Silicon Valley's New Philanthropy." *New York Times* Oct. 31.  
[http://www.nytimes.com/2015/11/01/opinion/siliconvalleys-new-philanthropy.html?\\_r=0](http://www.nytimes.com/2015/11/01/opinion/siliconvalleys-new-philanthropy.html?_r=0).

## **Corporate Social Responsibility in Comparative Perspective**

### **B Corporations; Shared Economy Business Models**